

The Research Foundation of SUNY
P.O. Box 9, Albany, New York 12201-0009

**PRINCIPAL INVESTIGATOR CERTIFICATION REGARDING
DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS**

PURPOSE

The Research Foundation is required to certify that it and its principals are not currently debarred, suspended, ineligible, or voluntarily excluded from participation in federal non-procurement transactions. Certification is required by the regulations implementing Executive Order 12549, "Government-wide Debarment and Suspension (Nonprocurement)", 34 CFR Part 85, Section 85.510, Participants' Responsibilities.

Principal Investigators must sign the certification below in order to receive federal grants, cooperative agreements, donation agreements, scholarships, or fellowships.

Principal Investigator Name: _____

CERTIFICATION

- (1) I hereby certify that 1:
 - (a) Am not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) Have not been convicted of or had a civil judgment rendered against me for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Am not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and
 - (d) Have not had one or more public transactions (Federal, State or local) terminated for cause or default.

- (2) I will provide immediate written notice to the Research Foundation if at any time I learn that this certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

Principal Investigator Signature

Date